

# Assignment 10: Final Exam

## Due Tuesday December 6, 2022

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**Problem 1** (distribution derivative) Find the second distribution derivative of the Heaviside functional  $H : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  by

$$H(\phi) = \int_0^\infty \phi(x) dx.$$

**Problem 2** Given  $a, b \in \mathbb{R}$  with  $a < b$ , consider the spaces  $\mathcal{L}^\infty(a, b)$  of essentially bounded functions, the space  $L^\infty(a, b)$  of (equivalence classes of) essentially bounded functions, and the space  $C^0(a, b)$  of continuous functions.

- (a) Define what it means for a measurable function  $u : (a, b) \rightarrow \mathbb{R}$  to be **essentially bounded**.
- (b) State the definition of a **seminorm**  $\sigma$  on a vector space  $X$ .
- (c) Show  $\mathcal{L}^\infty(a, b)$  is a vector space and  $\sigma : \mathcal{L}^\infty(a, b) \rightarrow [0, \infty)$  by

$$\sigma(u) = \inf \left\{ M > 0 : \int_{(a,b)} \max\{|u| - M, 0\} = 0 \right\}$$

is a seminorm on  $\mathcal{L}^\infty(a, b)$  but not a norm.

- (d) State the definition of a **norm** on a vector space  $X$ .
- (e) Show  $\| \cdot \| : L^\infty(a, b) \rightarrow [0, \infty)$  by  $\|[u]\| = \sigma(u)$  is a well-defined norm on  $L^\infty(a, b)$  where  $[u]$  represents an appropriate equivalence class of functions in  $\mathcal{L}^\infty(a, b)$ . (You should define the equivalence relation.)

(f) Consider the injections  $\phi : C^0[a, b] \rightarrow \mathcal{L}^\infty(a, b)$  and  $\psi : C^0(a, b) \rightarrow L^\infty(a, b)$  by

$$\phi(f) = f \quad \text{and} \quad \psi(f) = [f]$$

respectively. Show these maps are both linear and injective.

(g) Show the seminorm  $\sigma$  from part (c) is a norm on the subspace  $\phi(C^0[a, b])$ .

(h) Define what it means for a normed space to be **complete**, i.e., a Banach space.

(i) Show  $\phi(C^0[a, b])$ ,  $\psi(C^0[a, b])$ , and  $L^\infty(a, b)$  are Banach spaces.

(j) Is  $\psi(C^0[a, b])$  dense in  $L^\infty(a, b)$ ?

**Problem 3** (topologies on a vector space or seminormed space) Let  $\{\mathcal{T}_\alpha\}_{\alpha \in \Gamma}$  be any collection of topologies on a vector space  $X$ . Typically, in applications these topologies will be the topologies associated with a family of seminorms also indexed by  $\alpha \in \Gamma$ .

(a) Define the **seminorm topology** associated with a seminorm  $\sigma : X \rightarrow [0, \infty)$  and show it is a topology. Hint: Seminorm balls.

(b) A **basis** for a topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  such that

$$\bigcup_{U \in \mathcal{B}} U = X$$

and for each  $U_1, U_2 \in \mathcal{B}$ ,

$$x \in U_1 \cap U_2 \quad \implies \quad \text{there exists some } U \in \mathcal{B} \text{ with } x \in U \subset U_1 \cap U_2.$$

Show the collection

$$\left\{ \bigcup_{U \in \mathcal{V}} U : \mathcal{V} \subset \mathcal{B} \right\}$$

of arbitrary unions of sets in a basis  $\mathcal{B}$  is a topology, and is the smallest topology containing  $\mathcal{B}$ .

(c) Given any collection  $\mathcal{C}$  of subsets of  $X$  satisfying

$$\bigcup_{U \in \mathcal{C}} U = X,$$

show the collection

$$\mathcal{B} = \left\{ \bigcap_{j=1}^k U_k : U_1, U_2, \dots, U_k \in \mathcal{C} \right\}$$

is a basis for a topology on  $X$ .

(d) Show/conclude

$$\mathcal{T} = \left\{ \bigcup_{\beta \in \mathcal{V}} \left( \bigcap_{j=1}^{k_\beta} U_j^\beta \right) : U_1^\beta, U_2^\beta, \dots, U_{k_\beta}^\beta \in \bigcup_{\alpha \in \Gamma} \mathcal{T}_\alpha \text{ for } \beta \in \mathcal{V} \right\} \quad (1)$$

is a topology on  $X$  and is the smallest topology containing  $\mathcal{T}_\alpha$  for every  $\alpha \in \Gamma$ . Here the symbol  $\mathcal{V}$  represents any indexing set for which the set conditional holds, and the topology  $\mathcal{T}$  is called **the topology generated by the family**  $\{\mathcal{T}_\alpha\}_{\alpha \in \Gamma}$ .

(e) Show a sequence  $\{x_j\}_{j=1}^\infty \subset X$  converges (to an element  $x \in X$ ) with respect to the topology  $\mathcal{T}$  if and only if  $\{x_j\}_{j=1}^\infty$  converges (to  $x$ ) with respect to every topology  $\mathcal{T}_\alpha$  with  $\alpha \in \Gamma$ .

Note: In general, the topology generated by a family of topologies need not be Hausdorff; in particular, it may be that a given sequence converges to two distinct points, i.e., limits are not unique.

**Problem 4** ( $C_c^\infty(U)$  topology) Given an open set  $U \subset \mathbb{R}$ , a natural number  $k \in \mathbb{N}_0 = \{0, 1, 2, 3, \dots\}$ , and an open set  $V \subset\subset U$ , let  $\sigma_{k,V}$  denote the seminorm  $\sigma : C_c^\infty(U) \rightarrow [0, \infty)$  by

$$\sigma(u) = \sum_{j=0}^k \left\| \frac{d^j u}{dx^j} \right\|_{C^0(\overline{V})} = \sum_{j=0}^k \max_{x \in \overline{V}} \left| \frac{d^j u}{dx^j}(x) \right|.$$

As in the previous problem, let  $\mathcal{T}$  denote the topology generated by this family of seminorms.

- (a) Let  $S = \{\sigma_1, \sigma_2, \dots, \sigma_m\}$  be any finite collection of the seminorms  $\sigma_{k,V}$ . Explain how to construct a sequence  $\{u_j\}_{j=1}^\infty \subset C_c^\infty(U)$  which converges to two distinct functions  $v_1 \neq v_2$  with respect to every seminorm in  $S$ .
- (b) Show that a sequence  $\{u_j\}_{j=1}^\infty \subset C_c^\infty(U)$  converges to a function  $u \in C_c^\infty(U)$  if and only if  $\{u_j\}_{j=1}^\infty$  converges to  $u$  in  $C^k(\overline{V})$  for every  $k \in \mathbb{N}_1$  and every  $V \subset\subset U$ .

(c) Conclude  $C_c^\infty(\Omega)$  is a Hausdorff space with respect to the topology  $\mathcal{T}$ .

The topology in this case is called the  $C^\infty$  topology on  $C_c^\infty(U)$ .

**Problem 5** (distributions) Recall that a **distribution** is by definition a continuous linear functional  $F : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$ .

(a) Define the **distribution derivative** of a distribution  $F$ .

(b) If  $c \in \mathbb{R}$ , consider the distribution  $C : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  by

$$C(\phi) = \int_{\mathbb{R}} c\phi.$$

Calculate the distribution derivative of  $C$ .

(c) Show every distribution  $F$  determines uniquely a set of distribution **antiderivatives**

$$\{G = G_0 + C : \text{the distribution derivative of } G_0 \text{ is } F\}.$$

Note: Technically, once a linear functional  $G_0 : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  is identified satisfying  $-G_0(\phi') \equiv F(\phi)$ , then one needs to (and one should) show the functional  $G_0 : C_c^\infty \rightarrow \mathbb{R}$  is continuous, i.e.,  $G_0 \in X'$  where  $X = C_c^\infty(\mathbb{R})$ . Unfortunately, as explained in the lecture the topology on  $Y = C_c^\infty(\mathbb{R})$  described in Problem 4 (and called “the  $\mathcal{K}$  topology” in the lecture) is not the correct topology to consider when using the space  $X = C_c^\infty(\mathbb{R})$  in the theory of distributions. In particular, even the constant distributions  $C$  are discontinuous with respect to the  $\mathcal{K}$  topology on  $Y = C_c^\infty(\mathbb{R})$  unless  $c = 0$ . Schwarz suggested the use of a different topology. I called this the **Schwarz topology**  $\mathcal{S}$  on  $X = C_c^\infty(\mathbb{R})$  and  $G_0$  should be continuous with respect to this topology. For this exercise (at the moment) perhaps it is enough to write down the formula for  $G_0$  and verify the required properties (linearity and  $-G_0(\phi') = F(\phi)$ ) other than continuity. I will try to describe/construct the Schwarz topology at the end of this assignment, and you should then (with some work) be able to verify  $G_0$  (and every integral functional and evaluation functional) is continuous. Incidentally, as noted in the lecture, the evaluation functionals  $E_x : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  by  $E_x(\phi) = \phi(x)$  do satisfy  $E_x \in Y'$  for all fixed  $x \in \mathbb{R}$ , as you can verify.

**Problem 6** (weak topology) Let  $X$  be a normed space and denote by  $X'$  the dual space  $\mathfrak{L}(X \rightarrow F)$  of continuous linear functionals on  $X$ . The **weak topology** on  $X$  is the topology  $\mathcal{T}_w$  generated by

$$\mathcal{B} = \left\{ \bigcap_{j=1}^k \omega_j^{-1}(V_j) : \omega_1, \dots, \omega_k \in X' \text{ and } V_1, \dots, V_k \text{ are open sets in } F. \right\}.$$

A sequence  $\{x_j\}_{j=1}^\infty \subset X$  is said to **converge weakly** to an element  $x \in X$  if

$$\lim_{j \rightarrow \infty} \omega(x_j) = \omega(x) \quad \text{for every } \omega \in X'.$$

- (a) Show every (strongly) convergent sequence in  $X$  is weakly convergent.
- (b) Show that a sequence in  $X$  is weakly convergent if and only if it is convergent with respect to the weak topology.
- (c) Which topology has more open sets, the norm topology  $\mathcal{T}$  or the weak topology  $\mathcal{T}_w$ ?

**Problem 7** (topology) Let  $\mathcal{T}$  and  $\mathcal{T}_w$  be two topologies on a space  $X$  satisfying  $\mathcal{T}_w \subsetneq \mathcal{T}$ . For the following assertions, choose  $\mathcal{S}_1, \mathcal{S}_2 \in \{\mathcal{T}, \mathcal{T}_w\}$  with  $\mathcal{S}_1 \neq \mathcal{S}_2$  to make the assertion true, and then prove the assertion.

- (a) If the function  $f : X \rightarrow Y$  from  $X$  into the topological space  $Y$  is continuous with respect to  $\mathcal{S}_1$ , then  $f$  is continuous with respect to  $\mathcal{S}_2$ .
- (b) If  $\{x_j\}_{j=1}^\infty \subset X$  converges to  $x \in X$  with respect to  $\mathcal{S}_1$ , then  $\{x_j\}_{j=1}^\infty$  converges to  $x$  with respect to  $\mathcal{S}_2$ .
- (c) There exists a continuous function  $f : X \rightarrow Y$  which is continuous with respect to  $\mathcal{S}_2$  but not with respect to  $\mathcal{S}_1$ .
- (d) There exists a sequence  $\{x_j\}_{j=1}^\infty \subset X$  which is convergent to an element  $x \in X$  with respect to  $\mathcal{S}_2$  but  $\{x_j\}_{j=1}^\infty$  does not converge to  $x$  with respect to  $\mathcal{S}_1$ .

**Problem 8** (Shur's result(s)) Let  $X$  be a normed space and let  $X' = \mathfrak{Z}(X \rightarrow F)$  denote the dual space of  $X$ . Let  $\{\omega_1, \dots, \omega_k\}$  be a finite subset of  $X'$  and let  $V_1, \dots, V_k$  be open sets in  $\mathbb{R}$ . Assume

$$\omega_\ell(\mathbf{0}) = 0 \in V_\ell \quad \text{for } \ell = 1, \dots, k.$$

Consider  $\Phi : X \rightarrow \mathbb{R}^k$  by  $\Phi(x) = (\omega_1(x), \dots, \omega_k(x))$ .

(a) Show  $\Phi \in \mathfrak{Z}(X \rightarrow \mathbb{R}^k)$ .

(b) Show

$$\mathcal{N}(\omega_\ell) = \{x \in X : \omega_\ell(x) = 0\} \subset \omega_\ell^{-1}(V_\ell).$$

(c) Show

$$\mathcal{N}(\Phi) = \{x \in X : \Phi(x) = \mathbf{0} \in \mathbb{R}^k\} = \bigcap_{\ell=1}^k \mathcal{N}(\omega_\ell).$$

(d) Show that if  $\dim X > k$ , then  $\Phi$  is not injective.

(e) Conclude that if  $\dim X > k$ , then no nonempty set of the form

$$W = \bigcap_{\ell=1}^k \omega_\ell^{-1}(V_\ell)$$

is contained in **any** open ball  $B_r(\mathbf{0}) \subset X$ .

- (f) Conclude that if  $\dim X > k$ , then no nonempty open set in the weak topology on  $X$  is a subset of any bounded set in  $X$ .

**Problem 9** (topological vector spaces; cf. Yôside Chapter 1, section 6, Lemma 1) Let  $X$  be a vector space and let  $\mathcal{T}$  be a topology of open subsets of  $X$ .

- (a) (first lemma of topological vector spaces) Assume the addition mapping  $p : X \times X \rightarrow X$  given by  $p(x, y) = x + y$  is continuous. Show

$$\{x + v : x \in U\} \quad \text{is open in } X \quad (2)$$

for every (fixed)  $v \in X$  and every (fixed) open set  $U \subset X$ . The topology on a vector space  $X$  is said to be **translation invariant** if the assertion of (2) holds.

- (b) (second lemma of topological vector spaces) Assume  $X$  and  $Y$  are vector spaces over the same field, and each has a translation invariant topology. Recall the equivalent definitions of continuity for a linear function  $L : X \rightarrow Y$ .

- (i) (continuity at each point) For each  $x_0 \in X$  and each open set  $V \subset Y$  with  $Lx_0 \in V$ , there is some open set  $U \subset X$  with  $x_0 \in U$  and

$$LU = \{Lx : x \in U\} \subset V.$$

- (ii) (topological continuity) For each open set  $V \subset Y$ , the set

$$L^{-1}V = \{x \in X : Lx \in V\} \quad \text{is open in } X.$$

Note: There is no (obvious) characterization of continuity in terms of an operator norm/Lipschitz continuity modulus in this case.

Show the linear function  $L : X \rightarrow Y$  is continuous if and only if  $L$  is continuous at  $\mathbf{0} \in X$ .

**Problem 10** (convergence of distributions) Let  $g : \mathbb{R} \rightarrow \mathbb{R}$  denote the absolute value function given by  $g(x) = |x|$ , let  $h : \mathbb{R} \rightarrow \mathbb{R}$  denote the Heaviside function given by

$$h(x) = \begin{cases} 0, & x < 0 \\ 1, & x \geq 0 \end{cases}$$

and let  $\mu_\delta$  denote the standard mollifier for  $\delta > 0$ ; recall your work on the mollifications  $\mu_\delta * g$  and  $\mu_\delta * h$  (perhaps from Problems 6 and 7 of Assignment 9 and/or from section 1.5.2 of my notes).

We say a family of distributions  $\{M_\delta\}_{\delta>0} \subset [C_c^\infty(\mathbb{R})]'$  **converges strongly** to a distribution  $M \in [C_c^\infty(\mathbb{R})]'$  as  $\delta \searrow 0$  if the following holds:

For any  $\epsilon > 0$ , any  $N > 0$ , and any open set  $V \subset [-N, N] \subset \mathbb{R}$ , there exists some  $\delta_0 > 0$  such that  $\delta < \delta_0$  implies

$$|M_\delta(\phi) - M(\phi)| < \epsilon \quad \text{uniformly for } \phi \in \{\psi \in C_c^\infty(V) : \|\psi\|_{L^1} < N\}.$$

- (a) Let  $M_\delta \in [C_c^\infty(\mathbb{R})]'$  denote the integral functional associated with the (classical) derivative  $(\mu_\delta * g)'$ , and show  $M_\delta$  converges strongly to  $M : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  by

$$M\phi = \int_{\mathbb{R}} (-1 + 2h)\phi$$

as  $\delta \searrow 0$ .

- (b) Now let  $M_\delta \in [C_c^\infty(\mathbb{R})]'$  denote the integral functional associated with the (classical) derivative  $(\mu_\delta * h)'$ , and show  $M_\delta$  **does not** converge strongly to  $E : C_c^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  by

$$E\phi = \phi(0)$$

as  $\delta \searrow 0$ .

- (c) Show the distributional derivative of  $h$  is the evaluation function  $E$  mentioned in part (b) above.

Different notions of **weak convergence** for distributions can be obtained in the following way: Let  $\sigma : C_c^\infty(\mathbb{R}) \rightarrow [0, \infty)$  be a seminorm. We say a family of distributions  $\{M_\delta\}_{\delta>0} \subset [C_c^\infty(\mathbb{R})]'$  **converges  $\sigma$ -weakly** to a distribution  $M \in [C_c^\infty(\mathbb{R})]'$  as  $\delta \searrow 0$  if the following holds:

For any  $\epsilon > 0$ , any  $N > 0$ , and any open set  $V \subset [-N, N] \subset \mathbb{R}$ , there exists some  $\delta_0 > 0$  such that  $\delta < \delta_0$  implies

$$|M_\delta(\phi) - M(\phi)| < \epsilon \quad \text{uniformly for } \phi \in \{\psi \in C_c^\infty(V) : \sigma(\psi) < N\}.$$

Note that taking the  $L^1(V)$  norm of (the restriction of) a function  $\phi \in C_c^\infty(\mathbb{R})$  gives a seminorm on  $C_c^\infty(\mathbb{R})$ , and it is this choice of norm that gives the definition of strong convergence of distributions.

- (d) Determine a “natural” choice of seminorm  $\sigma : C_c^\infty(\mathbb{R}) \rightarrow [0, \infty)$  for which the family of distributions  $\{M_\delta\}_{\delta>0}$  of part (b) above does converge to the evaluation functional  $\sigma$ -weakly. If you have trouble with this, you may want to consider part (e) below first.



- (e) Let  $\sigma_0 : C_c^\infty(\mathbb{R}) \rightarrow [0, \infty)$  be given by the  $C^0$ /sup norm. Show that strong convergence of any family  $\{M_\delta\}_{\delta>0}$  of distributions to a distribution  $M \in [C_c^\infty(\mathbb{R})]'$  as  $\delta \searrow 0$  implies  $\sigma_0$ -weak convergence of  $M_\delta$  to  $M$ . Just to be clear,  $\sigma_0$ -weak convergence means the following:

For any  $\epsilon > 0$ , any  $N > 0$ , and any open set  $V \subset [-N, N] \subset \mathbb{R}$ , there exists some  $\delta_0 > 0$  such that  $\delta < \delta_0$  implies

$$|M_\delta(\phi) - M(\phi)| < \epsilon \quad \text{uniformly for } \phi \in \{\psi \in C_c^\infty(V) : \|\psi\|_{C^0(\overline{V})} < N\}.$$

What is being clarified here is the precise use/restriction of the seminorm. Of course the  $C^0$  norm is actually a norm on  $C_c^\infty(\mathbb{R})$ . Can you explain why one would not (want to) use the much simpler condition

For any  $\epsilon > 0$  and any  $N > 0$ , there exists some  $\delta_0 > 0$  such that  $\delta < \delta_0$  implies

$$|M_\delta(\phi) - M(\phi)| < \epsilon \quad \text{uniformly for } \phi \in \{\psi \in C_c^\infty(\mathbb{R}) : \|\psi\|_{C^0(\mathbb{R})} < N\}$$

as a definition of  $C^0$ -weak convergence of distributions?

**Problem 11** (Extra/Bonus: The third lemma of topological vector spaces, aka Yôsidea Theorem 1, Chapter 1, Section 6) Let  $X$  and  $Y$  be topological vector spaces (over the same field) in which the operation mappings

$$\begin{aligned} p_X : X \times X &\rightarrow X && \text{by } p_X(x, y) = x + y, \\ p_Y : Y \times Y &\rightarrow Y && \text{by } p_Y(x, y) = x + y, \\ \tau_X : F \times X &\rightarrow X && \text{by } \tau_X(\alpha, x) = \alpha x, \text{ and} \\ \tau_Y : F \times Y &\rightarrow Y && \text{by } \tau_Y(\alpha, y) = \alpha y \end{aligned}$$

are continuous. Assume further that the topology on  $X$  is the topology generated by a/the family of topologies  $\{\mathcal{T}_\sigma\}_{\sigma \in A}$  where each topology  $\mathcal{T}_\sigma$  is the “seminorm ball” topology associated with a seminorm  $\sigma : X \rightarrow [0, \infty)$ . Recall that this means the topology on  $X$  is the smallest topology containing all the open sets in  $\cup_\sigma \mathcal{T}_\sigma$ . Similarly, assume the topology on  $Y$  is the topology generated by a/the family of topologies  $\{\mathcal{S}_\nu\}_{\nu \in B}$  where each topology  $\mathcal{S}_\nu$  is the “seminorm ball” topology associated with a seminorm  $\nu : Y \rightarrow [0, \infty)$ .

Show a linear operator  $L : X \rightarrow Y$  is continuous if and only if for each seminorm  $\nu \in B$ , there exists a seminorm  $\sigma \in A$  and some  $c > 0$  for which

$$\nu(Lv) \leq c \sigma(v) \quad \text{for all } v \in X.$$

## 1 The Schwarz topology on $C_c^\infty(\mathbb{R})$ .

The construction of the Schwarz topology on  $X = C_c^\infty(\mathbb{R})$  uses the  $\mathcal{K}$  topologies (or the topologies of uniform convergence of derivatives on compact subsets). I will briefly attempt to give the details here as I have adapted them from Chapter 6 of Rudin’s book *Functional Analysis* (1973, first edition). One of the main differences is that Rudin considers  $\mathcal{K}$  seminorms on subspaces called (by Schwarz)  $\mathcal{D}_K$  where  $\mathcal{D}_K$  as a vector space is  $C_c^\infty(K)$  and  $K$  is a compact set while I restrict to the situation where  $K = \overline{V}$  with  $V$  an open set and  $\overline{V}$  compact. Also, Rudin considers  $K \subset \mathbb{R}^n$ , and I restrict attention to  $V \subset \subset \mathbb{R}$ . Finally, Rudin considers a general subset  $\Omega \subset \mathbb{R}^n$  as the primary domain of functions under consideration, and I restrict attention to the special case where  $\Omega = \mathbb{R}$ . Some additional background material and discussion may be found in my notes.

The starting point is with the  $\mathcal{K}$  topology on all of  $C^\infty(\mathbb{R})$ . Then the main tool to construct the Schwarz topology is the topology on  $C_c^\infty(\overline{V})$  or what Rudin would call  $\mathcal{D}_{\overline{V}}$ . Here is a quick review:

The  $\mathcal{K}$  topology on  $C^\infty(\mathbb{R})$  is the topology generated by the  $C^k$  norms

$$\|f\|_{C^k(\overline{V})} = \max \left\{ \left| \frac{d^j f}{dx^j}(x) \right| : x \in \overline{V} \text{ and } 0 \leq j \leq k \right\}$$

considered as seminorms on  $C^\infty(\mathbb{R})$ . These seminorms are actually norms on the subspaces  $C^k(\overline{V})$  as  $V$  ranges over open sets with  $V \subset\subset \mathbb{R}$  and  $k$  takes all values in the set  $\{0, 1, 2, 3, \dots\}$  with  $k = 0$  corresponding to the uniform  $C^0$  norm. Each of these seminorms determines a topology  $\mathcal{T}_{k,\overline{V}}$  on  $C^\infty(\mathbb{R})$ .

Precisely, the  $\mathcal{K}$  topology on  $C^\infty(\mathbb{R})$  is the smallest topology  $\mathcal{T}$  containing all the topologies  $\mathcal{T}_{k,\overline{V}}$  or

$$\bigcup \{ \mathcal{T}_{k,\overline{V}} : V \text{ is open in } \mathbb{R} \text{ with } V \subset\subset \mathbb{R} \text{ and } k \in \{0, 1, 2, 3, \dots\} \}.$$

Recall that  $Y = C_c^\infty(\mathbb{R})$  is a subspace of  $C^\infty(\mathbb{R})$  with respect to the  $\mathcal{K}$  topology.

For this construction, we back up and consider

$$C_c^\infty(\overline{V}) = \{f \in C_c^\infty(\mathbb{R}) : \text{supp}(f) \subset \overline{V}\}.$$

as a subspace of  $C^\infty(\mathbb{R})$  with respect to the  $\mathcal{K}$  topology. Note this  $C_c^\infty(V)$  is a proper subspace of the space  $C_c^\infty(\overline{V})$  which Rudin (and Schwarz) would call  $\mathcal{D}_{\overline{V}}$ . Most importantly, denote the  $\mathcal{K}$  subspace topology on  $C_c^\infty(\overline{V})$  by

$$\mathcal{S}_{\overline{V}} = \{\mathcal{U} \cap C_c^\infty(\overline{V}) : \mathcal{U} \in \mathcal{K}\}.$$

Now we use the spaces  $C_c^\infty(\overline{V})$  with the  $\mathcal{K}$  subspace topology  $\mathcal{S}_{\overline{V}}$  as follows: Denote by  $X$  the vector space  $C_c^\infty(\mathbb{R})$ . We define a **local base  $\mathcal{B}_0$  at  $\mathbf{0} \in X$** , i.e., at the zero function in  $X$ , by

$$\mathcal{B}_0 = \{ \mathcal{V} \subset X : \mathcal{V} \text{ is convex, centrally symmetric, and } \mathcal{V} \cap \overline{V} \in \mathcal{S}_{\overline{V}} \text{ for every } V \subset\subset \mathbb{R} \}.$$

A base for the Schwarz topology is then obtained by translating the sets in  $\mathcal{B}_0$ :

$$\mathcal{B} = \{ \{ \phi + f : f \in \mathcal{V} \} : \phi \in X = C_c^\infty(\mathbb{R}) \text{ and } \mathcal{V} \in \mathcal{B}_0 \}.$$

The Schwarz topology  $\mathcal{S}$  on  $C_c^\infty(\mathbb{R})$  is then the collection of arbitrary unions of these basis elements:

$$\mathcal{S} = \left\{ \bigcup_{\alpha \in \Gamma} \mathcal{V}_\alpha : \{ \mathcal{V}_\alpha \}_{\alpha \in \Gamma} \subset \mathcal{B} \right\}.$$

**Problem 12** Let  $X = C_c^\infty(\mathbb{R})$  denote the topological vector space defined above with respect to the Schwarz topology.

- (a) Show  $\mathcal{S}$  is a topology which is strictly finer than the  $\mathcal{K}$  topology on  $Y = C_c^\infty(\mathbb{R})$ , that is  $\mathcal{K} \subsetneq \mathcal{S}$ .
- (b) Show the sequence  $\{\phi_j\}_{j=1}^\infty \subset C_c^\infty(\mathbb{R})$  with  $\phi_j(x) = \mu_1(x - j)$  where  $\mu_1$  is the standard mollifier
  - (i) converges to the zero function in  $Y$ , and
  - (ii) does not converge to the zero function in  $X$ .
- (c) Show that a sequence  $\{f_j\}_{j=1}^\infty \subset X = C_c^\infty(\mathbb{R})$  converges to a function  $f \in X$  if and only if there is a fixed compact set  $K \subset \mathbb{R}$  for which
 

$\text{supp}(f_j) \subset K$  for all  $j = 1, 2, 3, \dots$  and  $\lim_{j \rightarrow \infty} \|f_j - f\|_{C^k} = 0$  for all  $k = 0, 1, 2, 3, \dots$
- (d) The integral functionals associated with  $L_{loc}^1(\mathbb{R})$  functions as well as the evaluation functionals are all in  $X'$ , i.e., they are all continuous with respect to the Schwarz topology on  $C_c^\infty(\mathbb{R})$ . Hint: Continuity at the zero function  $\mathbf{0} \in X = C_c^\infty(\mathbb{R})$  implies continuity for a linear function  $G_0 : X \rightarrow \mathbb{R}$ , even if there is no norm. See part (b) of Problem 9 above.
- (e) Your antiderivative functional  $G_0 : X \rightarrow \mathbb{R}$  from Problem 5 above is continuous with respect to the Schwarz topology.
- (f) Consider the antiderivative operator  $\Phi : X \rightarrow X$  by

$$\Phi(\psi) = \phi \quad \text{with} \quad \phi(x) = \int_{-\infty}^x \psi(t) dt - \left( \int_{\mathbb{R}} \psi \right) \int_{-\infty}^x \eta(t) dt$$

where  $\eta \in X = C_c^\infty(\mathbb{R})$  is fixed with  $\int_{\mathbb{R}} \eta = 1$ . Show  $\Phi$  is continuous.