

# Armstrong's problem 1.6.21 a solution

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We are asked to find a homeomorphism/automorphism of  $\overline{B_1(0)} \subset \mathbb{C}$  which interchanges two points in  $B_1(0)$  and leaves the boundary fixed. The formulation of the problem as one in the complex plane initially suggested to me the use of Möbius transformations

$$f(z) = \frac{az + b}{cz + d}, \quad \text{with } a, b, c, d \in \mathbb{C} \text{ and } ad - bc \neq 0.$$

These can be used to move points around inside a disk and leave the boundary *invariant*. They do not, in general, leave the boundary *fixed*, so I'm not sure they lead to an easy solution, though I think there can be a related solution as I'll describe at the end. Even with that, I seem to run into the rut of using something like the (non-conformal) transformation of Armstrong's problem 5.1.3. This may be just because that is what I'm thinking about at the moment, but it does seem to work.

Problem 5.1.3 gives a homeomorphism of the disk which leaves the boundary fixed. To be precise, one considers  $h : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by  $h(x) = r(\cos(\theta + 2\pi r), \sin(\theta + 2\pi r))$  where  $r = |x| = \sqrt{x_1^2 + x_2^2}$  and  $x = (x_1, x_2) = r(\cos \theta, \sin \theta)$ . The image of a diameter under this map is indicated in Figure 1. Notice that  $h$  restricted to the circle of radius  $1/2$  is the antipodal map. In particular, all points on  $\partial B_{1/2}(0)$  are interchanged. This homeomorphism can, of course, be adapted to any disk of radius  $r$  and center  $c$  by translation and dilation. To be precise, if I want a homeomorphism of the closure of  $B_r(c)$  which fixes the boundary and interchanges all points on  $\partial B_{r/2}(c)$ , then I can take  $m : B_r(c) \rightarrow B_1(0)$  by  $m(x) = (x - c)/r$  and  $\sigma = m^{-1} \circ h \circ m$  does the trick. Let's call  $\sigma = \sigma_{r,c}$  the swapping homeomorphism.

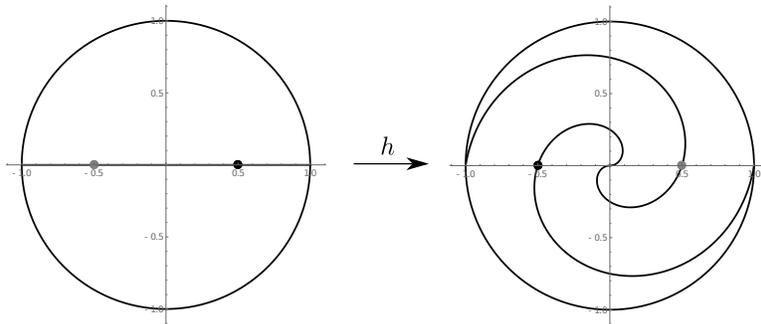


Figure 1: The mapping  $h : B_1(0) \rightarrow B_1(0)$

The swapping homeomorphism can be used to interchange  $p$  and  $q$  if we take

$$r = |p - q| \quad \text{and} \quad c = \frac{p + q}{2}. \quad (1)$$

Notice that  $c$  in this case is the midpoint of the segment between  $p$  and  $q$  and  $|p - c| = |q - c| = |p - q|/2$ . The problem is that  $\sigma$  fixes  $\partial B_r(c)$  rather than  $\partial B_1(0)$ . Nevertheless, we can swap some pairs of points in  $B_1(0)$  at this point. Namely, if we make the assignment (1) and it turns out that  $B_r(c) \subset\subset B_1(0)$ , then we can take

$$\phi(x) = \begin{cases} \sigma(x), & x \in \overline{B_r(c)} \\ \text{id}(x), & x \in \overline{B_1(0)} \setminus B_r(c). \end{cases}$$

The symbol  $\subset\subset$  is a shorthand way to say  $\overline{B_r(c)} \subset B_1(0)$ ; notice you've got the closure on the left and the interior of  $B_1(0)$  on the right. When this happens, you've got  $C = \overline{B_1(0)} \setminus B_r(c)$  is a closed set, and  $\phi$  is continuous by the gluing lemma. In fact, you also get  $\phi^{-1}$ , which is just

$$\phi^{-1}(x) = \begin{cases} \sigma^{-1}(x), & x \in \overline{B_r(c)} \\ \text{id}(x), & x \in \overline{B_1(0)} \setminus B_r(c), \end{cases}$$

is continuous by the gluing lemma as well.

This brings us first to the question of when we have  $B_r(c) \subset\subset B_1(0)$  under the assignment (1). The basic answer is when  $r + |c| < 1$ . This means

$$|p - q| + \left| \frac{p + q}{2} \right| < 1.$$

This looks like a fairly complicated relation between the points  $p$  and  $q$ , but one thing we can notice is that if  $|p|$  and  $|q|$  are small enough, then it will always hold. In fact, by the triangle inequality

$$|p - q| + \left| \frac{p + q}{2} \right| \leq \frac{3}{2}(|p| + |q|),$$

so as long as  $|p| + |q| < 2/3$  we are in good shape to apply  $\phi$  on  $\overline{B_1(0)}$  and swap our points.

But what if  $|p| + |q| \geq 2/3$ ? In that case, we can use a preliminary homeomorphism to “suck” the outer portion of the disk toward the origin. For this we want a homeomorphism  $f$  of  $[0, 1]$  (the interval of the radii) which leaves the endpoints fixed and is increasing but has  $f(r) \ll r$  at least for most of the interval. You should recall that  $f(r) = r^n$  for large integers  $n$  does this. Thus, our preliminary “sucky” homeomorphism can be  $\nu(x) = r^n(\cos \theta, \sin \theta)$  where  $r$  and  $\theta$  are defined near the beginning of this solution. If  $|p|^n + |q|^n < 2/3$ , then  $n$  is large enough. Then we can take  $r = |\nu(p) - \nu(q)|$  and  $c = (\nu(p) + \nu(q))/2$  in our definition of the swapping homeomorphism  $\sigma$  and

$$\psi : \overline{B_1(0)} \rightarrow \overline{B_1(0)} \quad \text{by} \quad \psi(x) = \begin{cases} \nu^{-1} \circ \sigma \circ \nu(x), & x \in \nu^{-1}(\overline{B_r(c)}) \\ \text{id}(x), & x \in \overline{B_1(0)} \setminus \nu^{-1}(B_r(c)). \end{cases}$$

Since  $\nu$  is a continuous (and open and closed) map, essentially the same gluing lemma argument that applied to  $\phi$  shows that  $\psi$  is a homeomorphism fixing  $\partial B_1(0)$  and interchanging  $p$  and  $q$ .

Another approach is the following: Take a preliminary homeomorphism  $h_1$  sending  $B_1(0)$  to  $\mathbb{R}^2$ . This will take the boundary out to “ $\infty$ .” Now move  $h_1(p)$  and  $h_1(q)$  to points symmetric about the origin using a Möbius transformation  $\mu$  that fixes  $\infty$ . This will also be a homeomorphism (and a conformal one) of the plane. Then you can swap the points  $\mu \circ h_1(p)$  and  $\mu \circ h_1(q)$  with a variant of Armstrong’s spiraling transformation that still leaves  $\infty$  fixed. This will be an interesting part. Then using the inverses of  $h_1$  and  $\mu$ , you should get a different kind of swapping homeomorphism.